# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 10618810 Filing Date 2003-07-14 First Named Inventor Ajay Kumar Art Unit 2186 Examiner Name Unknown Attorney Docket Number 5681-15200

	U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	
	1	6496828		2002-12-27	Cochrane, et al.		
	2	6579679		2003-02-11	Devireddy, et al.		
	3	6704737		2004-03-09	Nixon, et al.		
	4	6981221		2005-12-27	Neudeck, et al.		
	5	5335343		1994-08-02	Lampson, et al.		
	6	6272675		2001-08-07	Schrab, et al.		
	7	7134008		2006-11-07	Dutt, et al.		
	8	7165061		2007-01-16	K, et al.		

( Not for submission under 37 CFR 1.99)

Application Number		10618810	
Filing Date		2003-07-14	
First Named Inventor	Ajay	Kumar	
Art Unit		2186	
Examiner Name Unkn		own	
Attorney Docket Number		5681-15200	

9	7082432	2006-07-25	Bhogi, et al.	
10	6421661	2002-07-16	Doan, et al.	
11	4502116	1985-02-26	Fowler, et al.	
12	6728958	2004-04-27	Klein, et al.	
13	6041354	2000-03-21	Biliris, et al.	
14	6338112	2002-01-08	Wipfel, et al.	
15	6105067	2000-08-15	Batra, Virinder Mohan	
16	6154787	2000-11-28	Ureving, et al.	
17	7065563	2006-06-20	Islam, et al.	
18	5586312	1996-12-17	Johnson, et al.	
19	6157927	2000-12-05	Schaefer, et al.	

( Not for submission under 37 CFR 1.99)

Application Number		10618810	
Filing Date		2003-07-14	
First Named Inventor Ajay		Kumar	
Art Unit		2186	
Examiner Name Unkn		own	
Attorney Docket Number		5681-15200	

	20					
	21					
If you wis	h to ac	ı dd additional U.S. Paten	t citatio	n information pl	ease click the Add button.	<u> </u>
			U.S.P	ATENT APPLI	CATION PUBLICATIONS	
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20030033398		2003-02-13	Carlson, et al.	
	2	20050055324			Dutt,et al.	
	3	20040172385		2004-09-02	Vikram Dayal	
	4	20030055968		2003-03-20	Hochmuth, et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

2003-02-20

2003-03-06

#### **FOREIGN PATENT DOCUMENTS**

Felt, et al.

Felt, et al.

5

6

20030036919

20030046342

( Not for submission under 37 CFR 1.99)

Application Number		10618810	
Filing Date		2003-07-14	
First Named Inventor	Ajay	Kumar	
Art Unit		2186	
Examiner Name Unkn		own	
Attorney Docket Number		5681-15200	

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> į	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	<b>T</b> 5
	1							
If you wish	n to ac	dd additional Foreign P	atent Document	citation	information plo	ease click the Add buttor	1	
			NON-PATEN	IT LITE	RATURE DO	CUMENTS		
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.						<b>T</b> 5
	1	Manolis Marazakis, "Distributed Systems", University of Crepe; Sept. 2002, pp.1-31.						
If you wish	n to ac	ld additional non-paten	t literature docur	nent cit	ation informati	on please click the Add b	putton	
			EX	AMINE	R SIGNATURI	Ξ		
Examiner	Signa	ture				Date Considered		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
<sup>1</sup> See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.								

( Not for submission under 37 CFR 1.99)

Application Number		10618810		
Filing Date		2003-07-14		
First Named Inventor	Ajay	Kumar		
Art Unit		2186		
Examiner Name Unkn		own		
Attorney Docket Number		5681-15200		

		CERTIFICATION	STATEMENT					
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selection	on(s):					
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OR	1							
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).							
П	See attached cer	rtification statement.						
	Fee set forth in 3	7 CFR 1.17 (p) has been submitted herewith						
<b>√</b>	None	CIONAT	TIDE.					
	ignature of the ap n of the signature.	SIGNAT plicant or representative is required in accord		8. Please see CFR 1.4(d) for the				
Sigr	nature	/Robert C. Kowert/	Date (YYYY-MM-DD)	2007-09-07				
Nan	Name/Print         Robert C. Kowert         Registration Number         39255							
pub 1.14 app requ	lic which is to file ( 1. This collection i lication form to the uire to complete th	rmation is required by 37 CFR 1.97 and 1.98. (and by the USPTO to process) an applications estimated to take 1 hour to complete, include USPTO. Time will vary depending upon the list form and/or suggestions for reducing this k Office, U.S. Department of Commerce, P.O.	n. Confidentiality is govern ding gathering, preparing a e individual case. Any com ourden, should be sent to t	ned by 35 U.S.C. 122 and 37 CFR and submitting the completed aments on the amount of time you the Chief Information Officer, U.S.				

FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria,

VA 22313-1450.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.